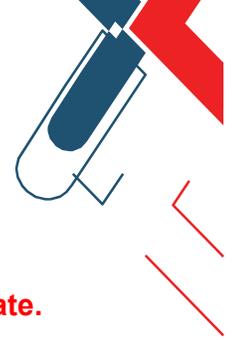


orbex

JOINT ACCOUNT APPLICATION



CLIENT ACCOUNT OPENING QUESTIONNAIRE

A.1 (Account Holder 1) Client Questionnaire: Please fill in the fields below as appropriate.

1. First Name:
2. Middle Name:
3. Last Name:
4. Email:
5. Country:
6. Mobile Number:

MY TRADING PROFILE

1. Birth Date:
2. Place of Birth:
3. Nationality:
4. Full Address:
5. How Did You Hear About ORBEX? (Friend, Media Report, Via Internet, TV, Referred by ORBEX Client, Other (Please Specify):
6. Are you a US Person? (YES, NO): If YES, Apologies, we currently do not offer our services to U.S Persons. FATCA Compliance: In Compliance with FATCA (The Foreign Account Tax Compliance Act), please indicate if you are a US Person, US Person means ANY of the following:
 - Born in the United States.
 - Born outside the United States of a US parent.
 - Naturalized citizen.
 - Green Card Holders (Permanent Residence in U.S.A).
 - Holder of Dual Citizenship, of which one is U.S.
 - Resident in the U.S.
 - Have a U.S mailing or residential address, (including a U.S post office box).
 - Have investment in U.S.A.

Yes No

I certify that to the best of my knowledge the information provided above is true, accurate and complete and no information has been withheld. I further confirm my acceptance to the ongoing reporting obligations of Orbex Ltd for FATCA to the Cyprus and U.S. Authorities.

7. Please state if you or any relative hold or have held any public positions in the last 12 months (YES, NO): If YES, please describe the public positions.

“Politically Exposed Persons (PEPs)” means the natural persons who are or have been entrusted with prominent public functions in the Republic of Cyprus or any other foreign country and their immediate family members or persons known to be close associates of such persons.

‘Politically Exposed Persons’ includes the following natural persons who are or have been entrusted with prominent public functions’ in Cyprus or a foreign country:

- (a) Heads of State, heads of government, ministers and deputy or assistant ministers
- (b) Members of parliaments or of similar legislative bodies
- (c) Members of the governing bodies of political parties
- (d) members of supreme courts, of constitutional courts or of other high-level judicial bodies whose decisions are not subject to further appeal, except in exceptional circumstances

- (e) Members of courts of auditors or of the boards of central banks
- (f) Ambassadors, charges d'affaires and high-ranking officers in the armed forces
- (g) Members of the administrative, management or supervisory bodies of State-owned enterprises
- (h) Directors, deputy directors and members of the board or equivalent function of an international organization
- (i) Mayor.

No public function referred to in points (a) to (i) shall be understood as covering middle-ranking or more junior officials.

'Immediate family members' includes the following:

- (a) the spouse or a person considered to be equivalent to a spouse
- (b) the children and their spouses or a person considered to be equivalent to a spouse
- (c) the parents.

'Persons known to be close associates' includes the following:

- (a) any natural person who is known to have joint Beneficial Ownership of legal entities or legal arrangements, or any other close business relations with a PEP
- (b) any natural person who has sole Beneficial Ownership of a legal entity or legal arrangement which is known to have been set up for the benefit de facto of the PEP

MY TRADING PREFERENCES

1. Trading Style (Scalping, Day Trading/Short Term, Mid/Long Term, Hedging):
2. Account Type (Classic Account, Standard Account, Premium Account, VIP Account, Copy Trading):

For more information about our account types, please visit: www.orbex.com/en/accounts-types

3. Base Currency (USD, EUR, PLN, GBP):
4. Select Your Leverage (1:30, 1:100, 1:200, Up to 1:500):

The default leverage on all accounts is 1:30 based on CySEC regulation. Higher leverage will be available only upon client's request and will be executed at our sole discretion.

5. I want my account to be Swap Free / Islamic account (YES, NO): If Yes, please accept Swap Free /Islamic account terms:

Orbex offers Islamic (Swap Free) accounts for all clients, to comply with Islamic Shariah, it is also an advantage for all traders who hold their positions for multiple days without being aware of Swaps or overnight fees. Any client who may misuse this advantage by holding his floating positions for a long time period, taking advantage of the swap free facility and gain profits from swaps must close their floating positions, having in mind that these fees are handled by Orbex and not paid by clients. Furthermore, hedging a spot currency by its corresponding Future contract is forbidden, because it is also an attempt to take advantage of the swap free facility and gain profits from swaps, one direction of this kind of hedges must be closed immediately. If there was no action taken from the client side to avoid such practices, Orbex will be sorry to close or take an action on these accounts without any further notice.

- Swap Free /Islamic account. I accept terms and conditions

MY TRADING EXPERIENCE

1. Forex & CFDs (No Experience, 1-3 years, more than 3 years):
2. Metals (No Experience, 1-3 years, more than 3 years):
3. Equity Indices (No Experience, 1-3 years, more than 3 years):
4. Futures and Options (No Experience, 1-3 years, more than 3 years):
5. Commodities (No Experience, 1-3 years, more than 3 years):

BASIC FINANCIAL INFORMATION

1. Primary source of Wealth (Savings/Investments, Partner/Parent/Family, Benefits/Borrowing, Pensions):
2. Net Worth (0-24,999, 25,000-49,999, 50,000-99,999, 100,000-199,999, 200,000+):
3. Annual Income (0-24,999, 25,000-49,999, 50,000-99,999, 100,000-199,999, 200,000+):
4. Estimated Monthly Traded Lots (0-5 lots, 5-20 lots, 20-50 lots, 50+ lots):
5. Expected First Deposit (\$500-\$5000, \$5000-\$25000, \$25000+):

MY JOB INFORMATION

1. Education (High School, Bachelor Degree, Master's Degree, PHD):
2. Employment Status (Employed, Retired, Self Employed, Student, Unemployed):
3. Industry:
4. Name of Business:

FITNESS TEST - Read the following and tick as applicable:

Did you carry out transactions in significant size on a relevant financial market at an average of ten (10) transactions per quarter over the previous four (4) quarters?

Yes No

If your answer is 'yes' please specify the relevant financial market, type of financial instrument and the approximate size of transactions.

Does the size of your portfolio (cash deposits and financial instruments) Exceeds EUR 500.000?

Yes No

Do you work or have you worked in the financial sector for a period of at least one (1) year in a professional position, which requires knowledge of the transactions or service(s) envisaged?

Yes No

A.2 (Account Holder 2) Client Questionnaire: Please fill in the fields below as appropriate.

7. First Name:
8. Middle Name:
9. Last Name:
10. Email:
11. Country:
12. Mobile Number:

MY TRADING PROFILE

8. Birth Date:
9. Place of Birth:
10. Nationality:
11. Full Address:
12. How Did You Hear About ORBEX? (Friend, Media Report, Via Internet, TV, Referred by ORBEX Client, Other (Please Specify):
13. Are you a US Person? (YES, NO): If YES, Apologies, we currently do not offer our services to U.S Persons. FATCA Compliance: In Compliance with FATCA (The Foreign Account Tax Compliance Act), please indicate if you are a US Person, US Person means ANY of the following:
 - Born in the United States.
 - Born outside the United States of a US parent.
 - Naturalized citizen.
 - Green Card Holders (Permanent Residence in U.S.A).
 - Holder of Dual Citizenship, of which one is U.S.
 - Resident in the U.S.
 - Have a U.S mailing or residential address, (including a U.S post office box).
 - Have investment in U.S.A.

Yes No

I certify that to the best of my knowledge the information provided above is true, accurate and complete and no information has been withheld. I further confirm my acceptance to the ongoing reporting obligations of Orbex Ltd for FATCA to the Cyprus and U.S. Authorities.

14. Please state if you or any relative hold or have held any public positions in the last 12 months (YES, NO): If YES, please describe the public positions.

“Politically Exposed Persons (PEPs)” means the natural persons who are or have been entrusted with prominent public functions in the Republic of Cyprus or any other foreign country and their immediate family members or persons known to be close associates of such persons.

‘Politically Exposed Persons’ includes the following natural persons who are or have been entrusted with prominent public functions’ in Cyprus or a foreign country:

- (a) Heads of State, heads of government, ministers and deputy or assistant ministers
- (b) Members of parliaments or of similar legislative bodies
- (c) Members of the governing bodies of political parties
- (d) members of supreme courts, of constitutional courts or of other high-level judicial bodies whose decisions are not subject to further appeal, except in exceptional circumstances
- (e) Members of courts of auditors or of the boards of central banks
- (f) Ambassadors, charges d'affaires and high-ranking officers in the armed forces
- (g) Members of the administrative, management or supervisory bodies of State-owned enterprises
- (h) Directors, deputy directors and members of the board or equivalent function of an international organization
- (i) Mayor.

No public function referred to in points (a) to (i) shall be understood as covering middle-ranking or more junior officials.

'Immediate family members' includes the following:

- (a) the spouse or a person considered to be equivalent to a spouse
- (b) the children and their spouses or a person considered to be equivalent to a spouse
- (c) the parents.

'Persons known to be close associates' includes the following:

- (a) any natural person who is known to have joint Beneficial Ownership of legal entities or legal arrangements, or any other close business relations with a PEP
- (b) any natural person who has sole Beneficial Ownership of a legal entity or legal arrangement which is known to have been set up for the benefit de facto of the PEP

MY TRADING PREFERENCES

- 6. Trading Style (Scalping, Day Trading/Short Term, Mid/Long Term, Hedging):
- 7. Account Type (Classic Account, Standard Account, Premium Account, VIP Account, Copy Trading):

For more information about our account types, please visit: www.orbex.com/en/accounts-types

- 8. Base Currency (USD, EUR, PLN, GBP):
- 9. Select Your Leverage (1:30, 1:100, 1:200, Up to 1:500):

The default leverage on all accounts is 1:30 based on CySEC regulation. Higher leverage will be available only upon client's request and will be executed at our sole discretion.

- 10. I want my account to be Swap Free / Islamic account (YES, NO): If Yes, please accept Swap Free /Islamic account terms:

Orbex offers Islamic (Swap Free) accounts for all clients, to comply with Islamic Shariah, it is also an advantage for all traders who hold their positions for multiple days without being aware of Swaps or overnight fees. Any client who may misuse this advantage by holding his floating positions for a long time period, taking advantage of the swap free facility and gain profits from swaps must close their floating positions, having in mind that these fees are handled by Orbex and not paid by clients. Furthermore, hedging a spot currency by its corresponding Future contract is forbidden, because it is also an attempt to take advantage of the swap free facility and gain profits from swaps, one direction of this kind of hedges must be closed immediately. If there was no action taken from the client side to avoid such practices, Orbex will be sorry to close or take an action on these accounts without any further notice.

- Swap Free /Islamic account. I accept terms and conditions

MY TRADING EXPERIENCE

- 6. Forex & CFDs (No Experience, 1-3 years, more than 3 years):
- 7. Metals (No Experience, 1-3 years, more than 3 years):
- 8. Equity Indices (No Experience, 1-3 years, more than 3 years):
- 9. Futures and Options (No Experience, 1-3 years, more than 3 years):
- 10. Commodities (No Experience, 1-3 years, more than 3 years):

BASIC FINANCIAL INFORMATION

- 6. Primary source of Wealth (Savings/Investments, Partner/Parent/Family, Benefits/Borrowing, Pensions):
- 7. Net Worth (0-24,999, 25,000-49,999, 50,000-99,999, 100,000-199,999, 200,000+):

8. Annual Income (0-24,999, 25,000-49,999, 50,000-99,999, 100,000-199,999, 200,000+):
9. Estimated Monthly Traded Lots (0-5 lots, 5-20 lots, 20-50 lots, 50+ lots):
10. Expected First Deposit (\$500-\$5000, \$5000-\$25000, \$25000+):

MY JOB INFORMATION

5. Education (High School, Bachelor Degree, Master's Degree, PHD):
6. Employment Status (Employed, Retired, Self Employed, Student, Unemployed):
7. Industry (select):
8. Name of Business:

FITNESS TEST - Read the following and tick as applicable:

Did you carry out transactions in significant size on a relevant financial market at an average of ten (10) transactions per quarter over the previous four (4) quarters?

Yes No

If your answer is 'yes' please specify the relevant financial market, type of financial instrument and the approximate size of transactions.

Does the size of your portfolio (cash deposits and financial instruments) Exceeds EUR 500.000?

Yes No

Do you work or have you worked in the financial sector for a period of at least one (1) year in a professional position, which requires knowledge of the transactions or service(s) envisaged?

Yes No

A.3 (Account Holder 3) Client Questionnaire: Please fill in the fields below as appropriate.

- 13. First Name:
- 14. Middle Name:
- 15. Last Name:
- 16. Email:
- 17. Country:
- 18. Mobile Number:

MY TRADING PROFILE

- 15. Birth Date:
- 16. Place of Birth:
- 17. Nationality:
- 18. Full Address:
- 19. How Did You Hear About ORBEX? (Friend, Media Report, Via Internet, TV, Referred by ORBEX Client, Other (Please Specify):
- 20. Are you a US Person? (YES, NO): If YES, Apologies, we currently do not offer our services to U.S Persons. FATCA Compliance: In Compliance with FATCA (The Foreign Account Tax Compliance Act), please indicate if you are a US Person, US Person means ANY of the following:
 - Born in the United States.
 - Born outside the United States of a US parent.
 - Naturalized citizen.
 - Green Card Holders (Permanent Residence in U.S.A).
 - Holder of Dual Citizenship, of which one is U.S.
 - Resident in the U.S.
 - Have a U.S mailing or residential address, (including a U.S post office box).
 - Have investment in U.S.A.

Yes No

I certify that to the best of my knowledge the information provided above is true, accurate and complete and no information has been withheld. I further confirm my acceptance to the ongoing reporting obligations of Orbex Ltd for FATCA to the Cyprus and U.S. Authorities.

- 21. Please state if you or any relative hold or have held any public positions in the last 12 months (YES, NO): If YES, please describe the public positions.

“Politically Exposed Persons (PEPs)” means the natural persons who are or have been entrusted with prominent public functions in the Republic of Cyprus or any other foreign country and their immediate family members or persons known to be close associates of such persons.

‘Politically Exposed Persons’ includes the following natural persons who are or have been entrusted with prominent public functions’ in Cyprus or a foreign country:

- (a) Heads of State, heads of government, ministers and deputy or assistant ministers
- (b) Members of parliaments or of similar legislative bodies
- (c) Members of the governing bodies of political parties
- (d) members of supreme courts, of constitutional courts or of other high-level judicial bodies whose decisions are not subject to further appeal, except in exceptional circumstances
- (e) Members of courts of auditors or of the boards of central banks
- (f) Ambassadors, charges d'affaires and high-ranking officers in the armed forces

- (g) Members of the administrative, management or supervisory bodies of State-owned enterprises
- (h) Directors, deputy directors and members of the board or equivalent function of an international organization
- (i) Mayor.

No public function referred to in points (a) to (i) shall be understood as covering middle-ranking or more junior officials.

'Immediate family members' includes the following:

- (a) the spouse or a person considered to be equivalent to a spouse
- (b) the children and their spouses or a person considered to be equivalent to a spouse
- (c) the parents.

'Persons known to be close associates' includes the following:

- (a) any natural person who is known to have joint Beneficial Ownership of legal entities or legal arrangements, or any other close business relations with a PEP
- (b) any natural person who has sole Beneficial Ownership of a legal entity or legal arrangement which is known to have been set up for the benefit de facto of the PEP

MY TRADING PREFERENCES

- 11. Trading Style (Scalping, Day Trading/Short Term, Mid/Long Term, Hedging):
- 12. Account Type (Classic Account, Standard Account, Premium Account, VIP Account, Copy Trading):

For more information about our account types, please visit: www.orbex.com/en/accounts-types

- 13. Base Currency (USD, EUR, PLN, GBP):
- 14. Select Your Leverage (1:30, 1:100, 1:200, Up to 1:500):

The default leverage on all accounts is 1:30 based on CySEC regulation. Higher leverage will be available only upon client's request and will be executed at our sole discretion.

- 15. I want my account to be Swap Free / Islamic account (YES, NO): If Yes, please accept Swap Free /Islamic account terms:

Orbex offers Islamic (Swap Free) accounts for all clients, to comply with Islamic Shariah, it is also an advantage for all traders who hold their positions for multiple days without being aware of Swaps or overnight fees. Any client who may misuse this advantage by holding his floating positions for a long time period, taking advantage of the swap free facility and gain profits from swaps must close their floating positions, having in mind that these fees are handled by Orbex and not paid by clients. Furthermore, hedging a spot currency by its corresponding Future contract is forbidden, because it is also an attempt to take advantage of the swap free facility and gain profits from swaps, one direction of this kind of hedges must be closed immediately. If there was no action taken from the client side to avoid such practices, Orbex will be sorry to close or take an action on these accounts without any further notice.

- Swap Free /Islamic account. I accept terms and conditions

MY TRADING EXPERIENCE

- 11. Forex & CFDs (No Experience, 1-3 years, more than 3 years):
- 12. Metals (No Experience, 1-3 years, more than 3 years):
- 13. Equity Indices (No Experience, 1-3 years, more than 3 years):
- 14. Futures and Options (No Experience, 1-3 years, more than 3 years):
- 15. Commodities (No Experience, 1-3 years, more than 3 years):

BASIC FINANCIAL INFORMATION

11. Primary source of Wealth (Savings/Investments, Partner/Parent/Family, Benefits/Borrowing, Pensions):
12. Net Worth (0-24,999, 25,000-49,999, 50,000-99,999, 100,000-199,999, 200,000+):
13. Annual Income (0-24,999, 25,000-49,999, 50,000-99,999, 100,000-199,999, 200,000+):
14. Estimated Monthly Traded Lots (0-5 lots, 5-20 lots, 20-50 lots, 50+ lots):
15. Expected First Deposit (\$500-\$5000, \$5000-\$25000, \$25000+):

MY JOB INFORMATION

9. Education (High School, Bachelor Degree, Master's Degree, PHD):
10. Employment Status (Employed, Retired, Self Employed, Student, Unemployed):
11. Industry:
12. Name of Business:

FITNESS TEST - Read the following and tick as applicable:

Did you carry out transactions in significant size on a relevant financial market at an average of ten (10) transactions per quarter over the previous four (4) quarters?

Yes No

If your answer is 'yes' please specify the relevant financial market, type of financial instrument and the approximate size of transactions.

Does the size of your portfolio (cash deposits and financial instruments) Exceeds EUR 500.000?

Yes No

Do you work or have you worked in the financial sector for a period of at least one (1) year in a professional position, which requires knowledge of the transactions or service(s) envisaged?

Yes No

B. Required Documents

To finalize your profile, we would ask you to send your documents. These documents will be kept for verification purposes only, and we won't share them with anyone else. Please arrange to send them to backoffice@orbex.com.

The documents to be provided are the following:

- a) ID/Passport (Both Sides): please provide us with a clear colored copy for both sides of your official ID. Example: Passport, ID card or a Driving License.
- b) Proof of Residence (Utility Bill): Please provide us with a clear colored recent copy of your proof of residential address that contains your name, address, city and country of residence. Example: Bank Statement or a utility Bill. Note: the proof of residency document should not be older than 6 months.
- c) Credit Card (Both Sides).

C. Important Information for Retail Clients

Forex and Contracts for Difference may not be appropriate products for retail clients, you and you should ensure that you familiarize yourself with the products and fully understand the nature and risks involved prior to opening a trading account. If you are still interested to trade these products, we strongly recommend you to first gain additional experience by trading a demo account. Nevertheless, if you still wish to proceed with the application for opening a trading account with us, you must acknowledge the following:

Account Holder 1: I acknowledge your warning that Forex and Contracts for Difference may not be appropriate products for me, but I still wish to proceed with my application. I confirm that I understand the risks associated with Forex and CFD trading.

Account Holder 1 Signature:

Account Holder 2: I acknowledge your warning that Forex and Contracts for Difference may not be appropriate products for me, but I still wish to proceed with my application. I confirm that I understand the risks associated with Forex and CFD trading.

Account Holder 2 Signature:

Account Holder 3: I acknowledge your warning that Forex and Contracts for Difference may not be appropriate products for me, but I still wish to proceed with my application. I confirm that I understand the risks associated with Forex and CFD trading.

Account Holder 3 Signature:

Investor Alert: Forex Trading & Trading foreign exchange on margin carries a high level of risk, and may not be suitable for all investors. There is a possibility that you may sustain a loss of some or all of your investment and therefore you should not invest money that you cannot afford to lose

D. Provision of Information

Please choose the preferred method of receiving information from ORBEX Limited:

Electronically | (Email & Website) | Paper

To receive information electronically an email address must be provided in Section A above. Further, some information provided through our website may not be addressed personally to you.

Yes No

E. Client Confirmation

I hereby confirm that all information disclosed/provided above is complete, true and accurate and I agree to immediately notify ORBEX Ltd of any changes in this information or it ceases to be true and accurate.

Account Holder 1:

Name:

Signature:

Date:

Account Holder 2:

Name:

Signature:

Date:

Account Holder 3:

Name:

Signature:

Date:

SPECIAL TERMS FOR JOINT ACCOUNT

Document to be used only for an account opened in the name of several individuals each signing individually, as part of the Client Agreement for joint accounts.

The undersigned account holders, (hereinafter referred to as “the joint account holders”):

Acting jointly and severally, request that the account mentioned at the top of this document be governed by the following provisions:

1. Each account holder, with his/her hereby individual signature, is entitled to use the above-mentioned joint account unrestrictedly. Each of the joint account holders may in particular pledge and give any form of instruction and approval whatsoever for the securities placed in safe custody and the existing credit balances on the account.
2. The joint account holders declare that they already recognize the validity of all transactions that are entered into and executed by a joint account holder.
3. In the event of the death or incapacity of one of the joint account holders, the other account holder(s) shall continue to have the right, in front of the Company, to dispose of the credit balance on the account and shall remain the sole creditor(s) of the joint account.
4. The Company is authorized to credit assets received in the name of one of the account holders to the above referenced account without prior notice.
5. The joint account holders may individually sign any confirmation requested by the Company with their signature alone. The latter is binding on the other joint account holder(s). Similarly, they may individually terminate the account relationship with company.
6. The joint account holders are jointly and severally liable, for all current or future obligations that they might have to the Company; regardless of the relationships between the joint account holders.
7. As an exception to clause 14.6 of the Client Agreement, in any case of death of any joint account holder, his/her heir(s) will not be able to benefit and/or claim against the Company in any way under the Client Agreement, meaning that the remaining joint holders will be the sole account holders eligible for any profit and liable for any obligation rising from the Client Agreement and the relationship between the joint account holders and the Company.

Account Holder 1 Signature

Name:

Signature:

Date:

Account Holder 2 Signature

Name:

Signature:

Date:

Account Holder 3 Signature

Name:

Signature:

Date: